

Appln. No. 09/698,044
Infor. Discl. Stmt. dated March 2, 2004

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0001-16
InterTrust Ref. No.: IT-5.6.1 (US)



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Signed: Cindy Baglietto
Cindy Baglietto

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. GINTER et al.

Application No.: 09/698,044

Filed: October 30, 2000

For: SYSTEMS AND METHODS FOR
SECURE TRANSACTION
MANAGEMENT AND
ELECTRONIC RIGHTS
PROTECTION

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) Group Art Unit: 2132
)
) Examiner: W.P. Amsbury
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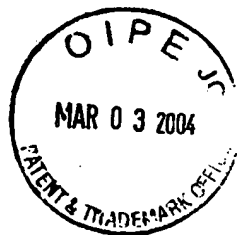
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.



The references enclosed with this paper (either in hard copy or in electronic format¹) and listed on the attached form PTO-1449 were documents cited by Microsoft in the related litigation. Many of these documents were brought to the attention of the Office in an earlier notice of related litigation.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

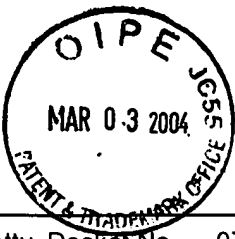
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 2, 2004

By: Andrew B. Schwaab
Andrew B. Schwaab
Reg. No. 38,611

¹ The references submitted in electronic format on the enclosed CD-ROM were submitted as hard copies in a recent IDS filed for Appln. No. 10/157,061 on February 23, 2004.



OMB No. 0651-0011

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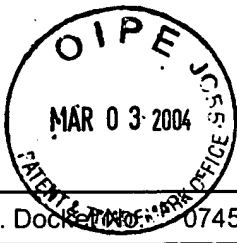
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Applicant	Karl L. Ginter, et al.		
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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,138,712	08/11/92	Corbin			
	5,113,518	05/12/92	Durst, Jr. et al.			
	4,941,175	07/10/90	Enescu et al.			
	5,940,504	08/17/99	Griswold			
	4,020,326	04/26/77	Coulthurst			
	4,937,863	06/26/90	Robert et al.			
	4,827,508	05/02/89	Shear			
	4,609,777	09/02/86	Cargile			
	5,966,440	10/12/99	Hair			
	5,191,573	03/02/93	Hair			
	4,866,769	09/12/89	Karp			
	5,291,598	03/01/94	Grundy			
	5,014,234	05/07/91	Edwards, Jr.			
	3,996,449	12/07/76	Attanasio et al.			
	4,104,721	08/01/78	Markstein et al.			
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	4,562,305	12/31/85	Gaffney, Jr.			
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	4,599,489	07/08/86	Cargile			
	4,609,985	09/02/86	Dozier			
	4,621,321	11/04/86	Boebert et al.			
	4,621,334	11/04/86	Garcia			
	5,603,031	02/11/97	White et al.			

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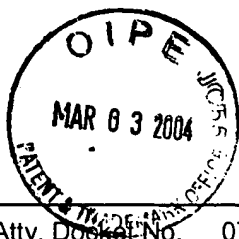
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	5,577,209	11/19/96	Boyle et al.			
	5,369,702	11/29/94	Shanton			
	5,206,951	04/27/93	Khoyi et al.			
	5,724,425	03/03/98	Chang et al.			
	4,995,082	02/19/91	Schnorr			
	5,689,565	11/18/97	Spies et al.			
	5,689,566	11/18/97	Nguyen			
	5,649,099	07/15/97	Theimer et al.			
	4,816,655	03/28/89	Musyck et al.			
	5,892,899	04/06/99	Aucsmith et al.			
	5,390,297	02/14/95	Barber et al.			
	5,956,408	09/21/99	Arnold			
	5,625,693	04/29/97	Rohatgi et al.			
	4,259,720	03/31/81	Campbell			
	4,321,672	03/23/82	Braun et al.			
	5,636,276	06/03/97	Brugger			
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	5,715,314	02/03/98	Payne et al.			
	5,724,424	03/03/98	Gifford			
	5,432,851	07/11/95	Scheidt et al.			
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	5,301,326	04/05/94	Linnett et al.			
	5,319,735	06/07/94	Preuss et al.			
	5,450,490	09/12/95	Jensen et al.			

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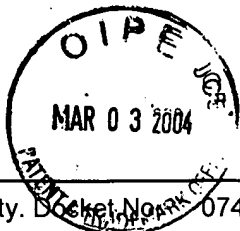
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	5,699,427	12/16/97	Chow et al.			
	4,926,480	05/15/90	Chaum			
	4,529,870	07/16/85	Chaum			
	5,361,359	11/01/94	Tajalli et al.			
	5,325,524	06/28/94	Black et al.			
	5,802,590	09/01/98	Draves			
	6,016,393	01/18/00	White et al.			
	5,199,074	03/30/93	Thor			
	5,367,621	11/22/94	Cohen et al.			
	5,129,084	07/07/92	Kelly, Jr. et al.			
	5,150,407	09/22/92	Chan			
	5,199,066	03/30/93	Logan			
	5,204,897	04/20/93	Wyman			
	5,263,157	11/16/93	Janis			
	5,263,165	11/16/93	Janis			
	5,276,901	01/04/94	Howell et al.			
	5,283,830	02/01/94	Hinsley et al.			
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	5,335,346	08/02/94	Fabbio			
	5,337,357	08/09/94	Chou et al.			
	5,343,526	08/30/94	Lassers			
	5,349,642	09/20/94	Kingdon			
	5,359,721	10/25/94	Kempf et al.			
	5,371,792	12/06/94	Asai et al.			
	5,440,634	08/08/95	Jones et al.			
	5,845,281	12/01/98	Benson et al.			
	4,573,119	02/25/86	Westheimer et al.			

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	4,578,530	03/25/86	Zeidler			
	4,590,552	05/20/86	Gutttag et al.			
	4,683,968	08/04/87	Appelbaum et al.			
	4,780,821	10/25/88	Crossley			
	4,796,220	01/03/89	Wolfe			
	4,864,616	09/05/89	Pond et al.			
	4,868,736	09/19/89	Walker			
	4,888,798	12/19/89	Earnest			
	4,893,248	01/09/90	Pitts et al.			
	4,893,332	01/09/90	Brown			
	4,953,209	08/28/90	Ryder, Sr. et al.			
	4,962,533	10/09/90	Krueger et al.			
	4,975,878	12/04/90	Boddu et al.			
	5,022,080	06/04/91	Durst et al.			
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	5,032,979	07/16/91	Hecht et al.			
	5,058,162	10/15/91	Santon et al.			
	5,065,429	11/12/91	Lang			
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	5,383,113	01/17/95	Kight et al.			
	5,524,933	06/11/96	Kunt et al.			
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	5,103,459	04/07/92	Gilhousen et al.			
	3,845,391	10/29/74	Crosby			
	5,574,962	11/12/96	Fardeau et al.			
	5,581,800	12/03/96	Fardeau et al.			
	5,787,334	07/28/98	Fardeau et al.			
	5,721,788	02/24/98	Powell et al.			

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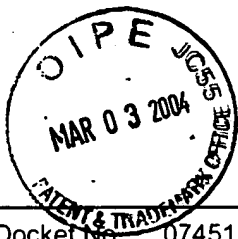
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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,079,648	01/07/92	Maufe			

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	DE 29 43 436 A1	10/26/79	Germany			

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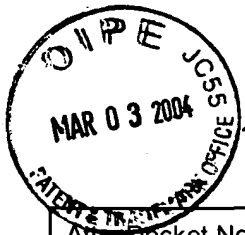
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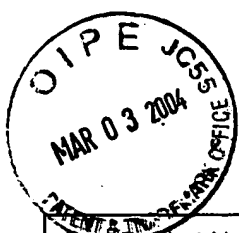
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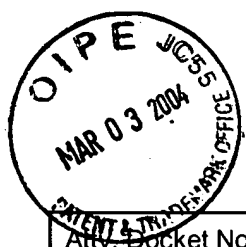
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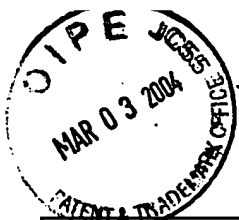
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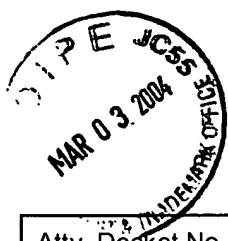
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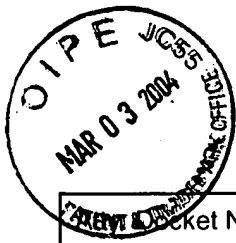
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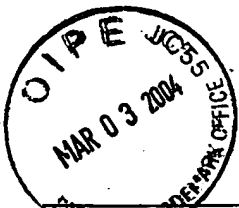
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Applicant	Karl L. Ginter, et al.			
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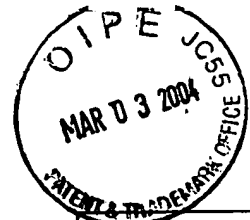


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